

Import LC Reopen User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Import LC Reopen User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Import LC Reopen

This process allows the user to register a request for an Import LC Reopen received at desk.

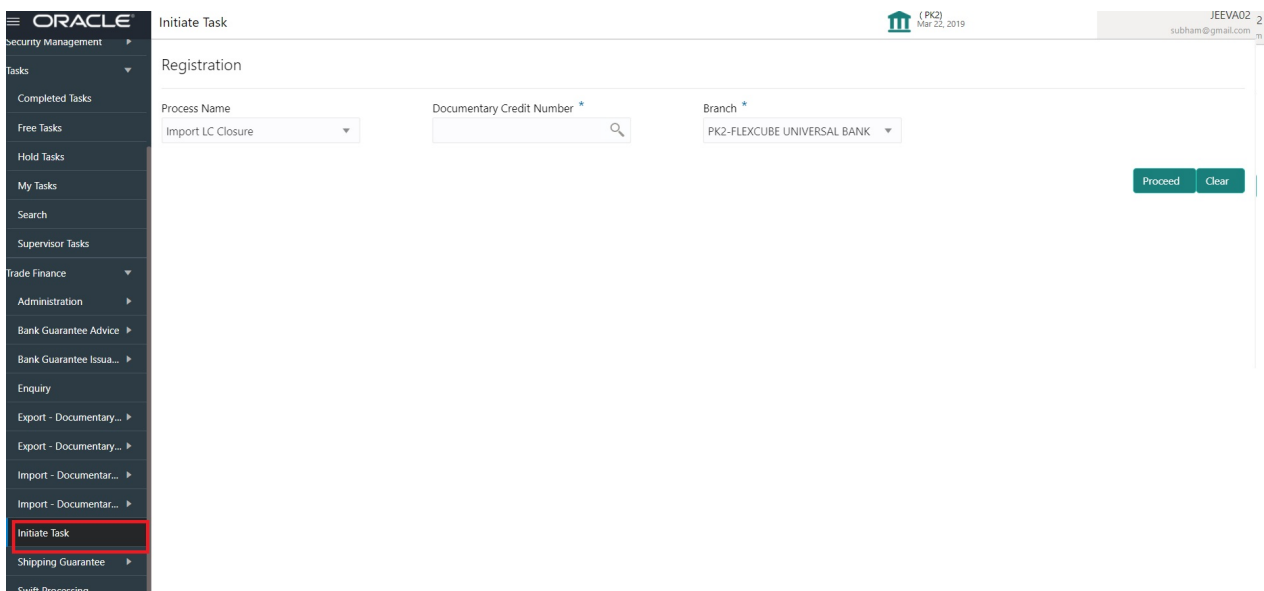
This section contains the following topics:

Common Initiation Stage	Registration
Data Enrichment	Multi Level Approval
Customer - Acknowledgement Format	Customer - Reject Format

Common Initiation Stage

The user can initiate the new Import LC Reopen from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

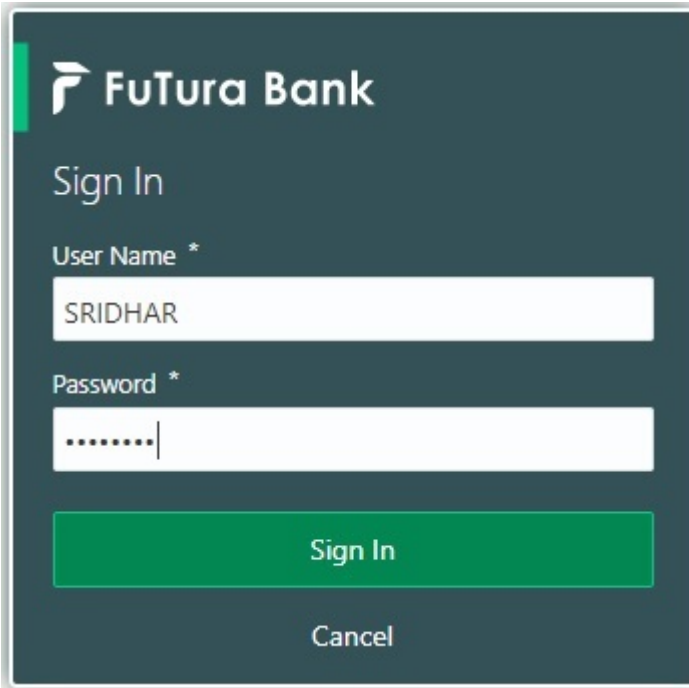
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

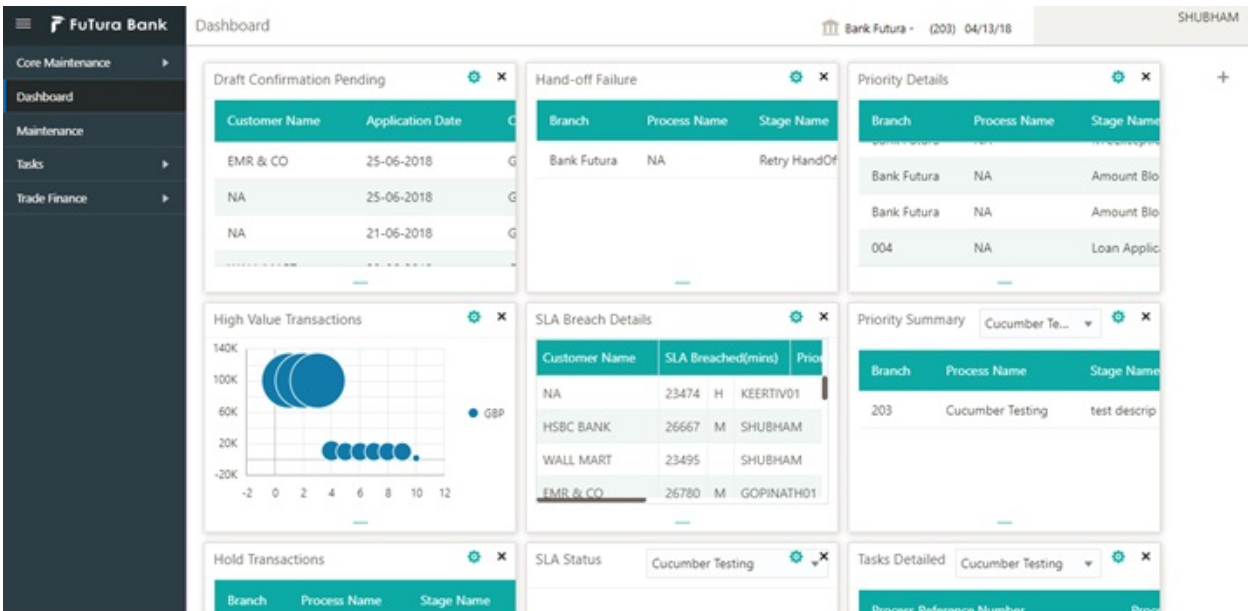
Registration

At the Registration stage, the user can register request for an Import LC reopen received at the front desk (as an application received physically/received by mail/fax). During Registration, user can capture the basic details of the application, check the signature of the applicant and upload related documents. On submit of the request, the customer should be notified with acknowledgment and the request should be available for an LC expert to handle in the next stage..

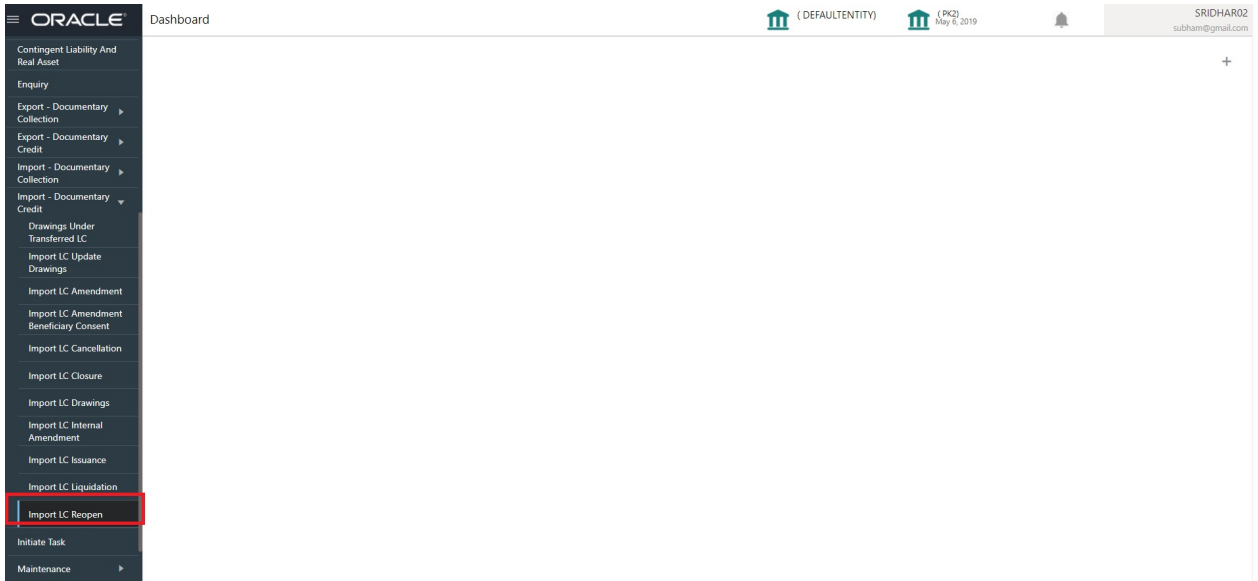
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance > Import Documentary Credits > Import LC Reopen**.



The Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

Application Details

Import LC Reopen

Documents Remarks Customer Instruction Common Group Messages

Application Details

20 - Documentary Credit Number
PK2ILUN21125A66A

Received From Applicant Bank

Priority: Medium

Received From - Customer ID: 001044

Submission Mode: Desk

Received From - Customer Name: GOODCARE PLC

Branch: PK2-Oracle Banking Trade Finan...

Process Reference Number: PK2ILCR000007139

Reopen Date: May 5, 2021

Closure Date: Jun 4, 2021

Customer Reference Number

View LC View LC Events

LC Details

Revolving

LC Type: Usance

Product Code: ILUN

Product Description: Import LC Usance Non Revolving

Advising Bank: 003762 CITIBANK ENGL

40A - Form of Documentary Credit: IRREVOCABLE

31C - Date of Issue: Jan 5, 2021

40E - Applicable Rules: UCPURR LATEST VERSION

Date of Expiry: May 5, 2021

31D - Place Of Expiry: dffdfd

51A - Applicant Bank

Applicant: 001044 GOODCARE PLC

Beneficiary: 001043 MARKS AND SP

32B - Currency Code, Amount: GBP £80,000.00

39A - Percentage Credit Amount Tolerance: 0 / 0

Limits/Collateral Required:


39C - Additional Amount Covered

Accountee

Amount In Local Currency

Back to Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Credit Number	<p>Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV.</p> <p>In LOV search/advanced LOV search, user can input Customer ID, Beneficiary, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable LC to be opened.</p> <p> Note System displays the LCs only which are in Closed status.</p>	
Received From Applicant Bank	<p>Read only field.</p> <p>System displays the value available in LC.</p>	001344
Received From - Customer ID	<p>Read only field.</p> <p>System displays the value available in LC.</p>	001344
Received From - Customer Name	<p>Read only field.</p> <p>System displays the name of the Customer available in LC.</p>	EMR & CO
Branch	<p>Read only field.</p> <p>Branch details will be auto-populated based on the selected LC.</p>	203-Bank Futura -Branch FZ1
Priority	<p>System will default the Priority as Low/Medium/High based on maintenance.</p>	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Import LC Closure request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Fax - Request received through Fax Email - Request received through Email Courier - Request received through Courier	Desk
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Reopen Date	By default, the application will display branch's current date.	04/13/2018
Closure Date	User can specify the LC Closure date. Closure Date should not be earlier than the branch date	04/13/2018
Customer Reference Number	User can enter the 'Reference number', if any.	

LC Details

The user can view the latest LC values displayed in the respective fields. All fields displayed in LC details section are **read only** fields.

The screenshot shows the 'LC Details' form with the following fields and values:

- Revolving:**
- Advising Bank:** 003762 CITIBANK ENGL
- Date of Expiry:** May 5, 2021
- Beneficiary:** 001043 MARKS AND SP
- 39C - Additional Amount Covered:** (Empty)
- LC Type:** Usance
- 40A - Form of Documentary Credit:** IRREVOCABLE
- 31D - Place Of Expiry:** dffdfd
- 32B - Currency Code, Amount:** GBP £80,000.00
- Accountee:** (Empty)
- Product Code:** ILUN
- 31C - Date of Issue:** Jan 5, 2021
- 51A - Applicant Bank:** (Empty)
- 39A - Percentage Credit Amount Tolerance:** 0 / 0
- Amount In Local Currency:** GBP £80,000.00
- Product Description:** Import LC Usance Non Revolving
- 40E - Applicable Rules:** UCPURR LATEST VERSION
- Applicant:** 001044 GOODCARE PLC
- Limits/Collateral Required:**
- Back to Back LC:**

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
Revolving	The value used for Revolving as per the latest LC details is displayed.	
LC Type	The value used for LC Type as per the latest LC details is displayed.	
Product Code	This field displays the product code used during Issuance.	
Product Description	Read only field. This field displays the description as in Import LC Issuance.	

Field	Description	Sample Values
Advising Bank	This field displays the advising bank details as per the latest LC details is displayed.	
40A - Form of Documentary Credit	This field displays the form of documentary credit details available in LC record.	
Date of Issue	This field displays the LC issuance date.	
Applicable Rules	This field displays the applicable rule as per the latest LC details.	
Date Of Expiry	This field displays the expiry date as per the latest LC details.	09/30/18
Place of Expiry	This field displays the place of expiry as per the latest LC details.	
Applicant Bank	This field displays the applicant bank details as per the latest LC details.	
Applicant	This field displays the details of the applicant as per the latest LC details.	
Beneficiary	This field displays the beneficiary details as per the latest LC details.	
Currency Code, Amount	This field displays the currency code of LC along with the currency details as per the latest LC details.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details as per the latest LC details.	
Limits/Collateral Required	Limits/Collateral applicable as per the latest LC details is displayed.	
Additional Amount Covered	This field displays the details of additional amount covered as per the latest LC details.	
Accountee	Accountee details as per the latest LC details is displayed.	8/2
Amount In Local Currency	Amount in local currency as per the latest LC details should be displayed.	
Back to Back LC	Back to Back LC as per the latest LC details is displayed.	

Miscellaneous

Import LC Reopen

Documents
Remarks
Customer Instruction
Common Group Messages

Branch
PK2-Oracle Banking Trade Finan...

Reopen Date
May 5, 2021

Priority
Medium

Closure Date
Jun 4, 2021

Submission Mode
Desk

Customer Reference Number

Process Reference Number
PK2ILCR000007139

View LC View LC Events

LC Details

Revolving

Advising Bank
003762 CITIBANK ENGL

Date of Expiry
May 5, 2021

Beneficiary
001043 MARKS AND SP

39C - Additional Amount Covered

LC Type
Usance

40A - Form of Documentary Credit
IRREVOCABLE

31D - Place Of Expiry
dffd

32B - Currency Code, Amount
GBP £80,000.00

Accountee

Product Code
ILUN

31C - Date of Issue
Jan 5, 2021

51A - Applicant Bank

39A - Percentage Credit Amount Tolerance
0 / 0

Amount In Local Currency
GBP £80,000.00

Product Description
Import LC Usance Non Revolving

40E - Applicable Rules
UCPURR LATEST VERSION

Applicant
001044 GOODCARE PLC

Limits/Collateral Required

Back to Back LC

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	System displays the mandatory and optional documents. User to upload the applicable documents. If mandatory documents are not uploaded, system should display an error on submit. The possible documents submitted under an Import LC Reopen request are: <ol style="list-style-type: none"> 1. Reopen request 2. Letter of Credit instrument copy 	
Remarks	Provide any additional information regarding the collection. This information can be viewed by other users handling the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	User can view the LC details.	
View Events	User can view the LC Events.	

Field	Description	Sample Values
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not selected, system will display an error on submit.	
Action Buttons		
Submit	On submit, task will move to next logical stage of Import LC Closure. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in 'My Task' queue for working later. This option will not submit the request.	
Cancel	Cancels the Import LC Closure. Details entered will not be saved and the task will be removed.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Data Enrichment

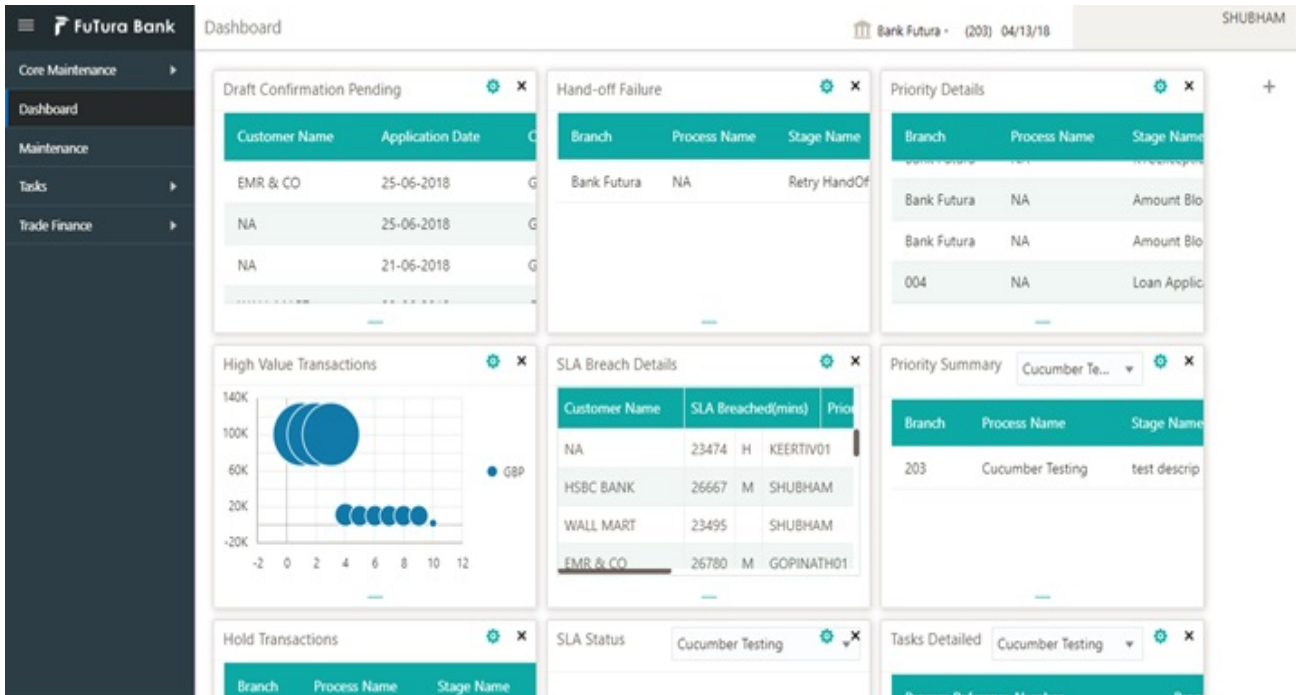
As part of Data Enrichment, user can enter/update new Import LC Reopen request.

Import LC request that were received at the desk will move to DE stage post successful Registration stage. The transaction will have the details entered during the Registration stage.

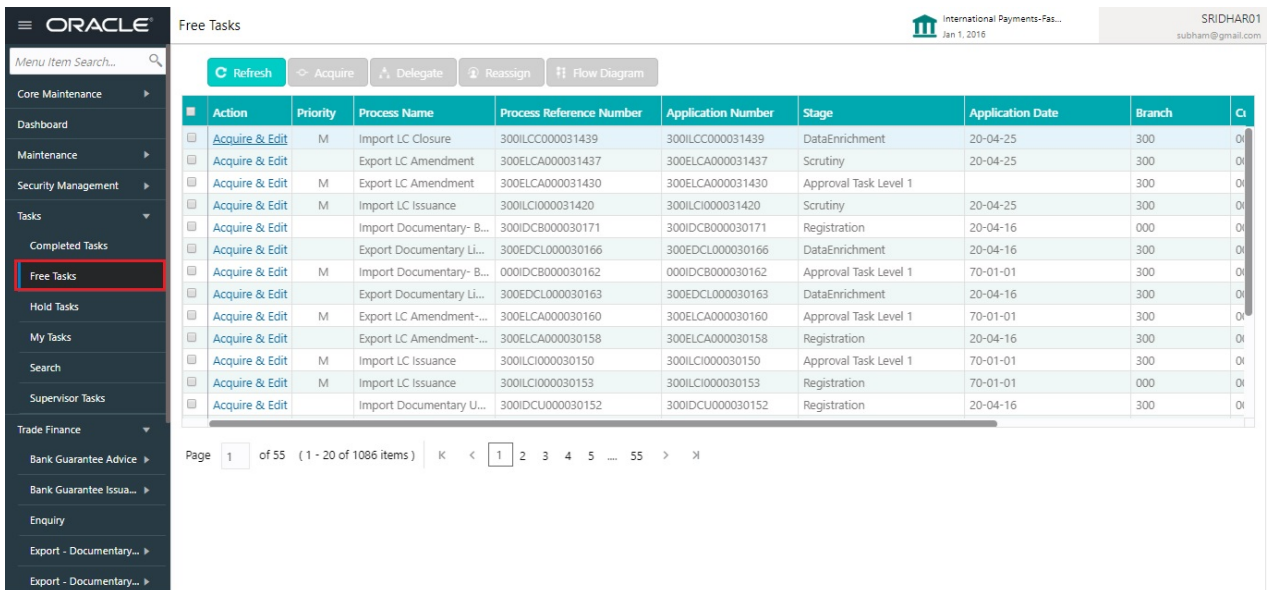
Do the following steps to acquire a task at Data enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user profile.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Acquire & E...	M	Import LC Reopen	PK2ILCR000056560	PK2ILCR000056560	DataEnrichment	21-05-22	PK2	000150
<input type="checkbox"/> Acquire & E...		Import LC Amendment	PK2ILCA000056559	PK2ILCA000056559	Scrutiny	21-05-22	PK2	001044
<input type="checkbox"/> Acquire & E...		Import LC Amendment	PK2ILCA000056558	PK2ILCA000056558	Scrutiny	21-05-22	PK2	001044
<input type="checkbox"/> Acquire & E...		Import LC Amendment	PK2ILCA000056557	PK2ILCA000056557	Scrutiny	21-05-22	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Transfer Ame...	PK2ELCT000056555	PK2ELCT000056555	DataEnrichment	21-05-22	PK2	001204
<input type="checkbox"/> Acquire & E...		Guarantee Issuance	PK2GTET000056553	PK2GTET000056553	Scrutiny	21-05-22	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Shipping Guarantee Iss...	PK2SGTI000056550	PK2SGTI000056550	DataEnrichment	21-05-22	PK2	001044
<input type="checkbox"/> Acquire & E...		Import LC Issuance	PK2ILCI000056548	PK2ILCI000056548	Scrutiny	21-05-22	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Transfer Ame...	PK2ELCT000056527	PK2ELCT000056527	DataEnrichment	21-05-21	PK2	000156
<input type="checkbox"/> Acquire & E...	M	Export Documentary Co...	PK2EDCL000056525	PK2EDCL000056525	Handoff RetryTask	21-05-21	PK2	001044
<input type="checkbox"/> Acquire & E...	M	Export LC Transfer Ame...	PK2ELCT000056526	PK2ELCT000056526	DataEnrichment	21-05-21	PK2	006465
<input type="checkbox"/> Acquire & E...	M	Export LC Advise	PK2ELCA000056541	PK2ELCA000056541	KYC Exceptional approval	21-05-21	PK2	001043
<input type="checkbox"/> Acquire & E...	M	Export LC Amendment	PK2ELCA000056539	PK2ELCA000056539	Scrutiny	21-05-21	PK2	001044

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/> Edit	M	Import LC Reopen	PK2ILCR000056560	PK2ILCR000056560	DataEnrichment	21-05-22	PK2	000150	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056556	PK2ELCT000056556	DataEnrichment	21-05-22	PK2	001044	
<input type="checkbox"/> Edit		Export LC Transfer Ame...	PK2ELCT000056554	PK2ELCT000056554	Registration	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056552	PK2ELCT000056552	KYC Exceptional approval	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Amendment B...	PK2ELCA000056551	PK2ELCA000056551	DataEnrichment	21-05-22	PK2	001044	
<input type="checkbox"/> Edit	M	Export LC Transfer Ame...	PK2ELCT000056498	PK2ELCT000056498	KYC Exceptional approval	21-05-21	PK2	000156	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056493	PK2GADC000056493	DataEnrichment	21-05-20	PK2	001044	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056467	PK2GADC000056467	AmountBlock Exception App...	21-05-20	PK2	001044	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000056436	PK2GADC000056436	AmountBlock Exception App...	21-05-20	PK2	001044	
<input type="checkbox"/> Edit	M	Guarantee SBLC Advise...	PK2GADC000055972	PK2GADC000055972	DataEnrichment	21-05-11	PK2	001044	
<input type="checkbox"/> Edit		Guarantee SBLC Advise...	PK2GADC000055971	PK2GADC000055971	Registration	21-05-11	PK2	001044	
<input type="checkbox"/> Edit		Guarantee SBLC Advise...	PK2GADC000055970	PK2GADC000055970	Registration	21-05-11	PK2	001044	
<input type="checkbox"/> Edit		Guarantee SBLC Advise...	PK2GADC000055969	PK2GADC000055969	Registration	21-05-11	PK2	001044	

The Data Enrichment stage has five sections as follows:

- Main Details
- Availability
- Payment
- Document and Conditions
- Additional Fields
- Additional Details
- Advices
- Settlement Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields.

Main Details

Main details section has two sub section as follows:

- Application Details
- LC Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**.

Import LC Reopen - DataEnrichment :: Application No: PK2ILCR000007139

Screen (1 / 9)

Main

Application Details

Received From Applicant Bank

Received From - Customer ID: 001044

Received From - Customer Name: GOODCARE PLC

Documentary Credit Number: PK2ILUN21125A66A

Branch: PK2-Oracle Banking Trade Finan...

Priority: Medium

Submission Mode: Desk

Process Reference Number: PK2ILCR000007139

Reopen Date: May 5, 2021

Closure Date: Jun 4, 2021

Customer Reference Number

LC Details

Revolving:

LC Type: Usance

Product Code: ILUN

Product Description: Import LC Usance Non Revolving

Advising Bank: 003762 CITIBANK ENGL

40A - Form of Documentary Credit: IRREVOCABLE

31C - Date of Issue: Jan 5, 2021

40E - Applicable Rules: UCPURR LATEST VERSION

Date of Expiry: May 5, 2021

31D - Place Of Expiry: dfdfdf

51A - Applicant Bank

Applicant: 001044 GOODCARE PLC

Beneficiary

32B - Currency Code, Amount

39A - Percentage Credit Amount Tolerance

Limits/Collateral Required

Buttons: View LC, View LC Events, Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Credit Number	Read only field. Documentary credit Number selected for reopen will be displayed as a read only field.	
Received From Applicant Bank	Read only field. Displayed as available from earlier stage.	001344
Received From - Customer ID	Read only field. Displayed as available from earlier stage.	001344
Received From - Customer Name	Read only field. Displayed as available from earlier stage.	EMR & CO
Branch	Read only field. Displayed as available from earlier stage.	203-Bank Futura -Branch FZ1
Priority	Priority to default based on priority maintenance and user should be allowed to change. Values are High, Medium and Low.	High
Submission Mode	Read only field. Displayed as available from earlier stage.	Desk
Process Reference Number	Read only field. Displayed as available from earlier stage.	
Reopen Date	Read only field. System to default the branch's current date.	04/13/2018

Field	Description	Sample Values
Closure Date	Read only field. Displayed as available from earlier stage.	04/13/2018
Customer Reference Number	Read only field. Displayed as available from earlier stage.	

LC Details

The user can view the latest LC values displayed in the respective fields. All fields displayed in LC details section are **read only** fields.

The screenshot shows the 'LC Details' form with the following fields and values:

- Revolving:** (Selected)
- Advising Bank:** 003762 CITIBANK ENGL
- Date of Expiry:** May 5, 2021
- Beneficiary:** 001043 MARKS AND SPI
- 39C - Additional Amount Covered:** (Empty field)
- LC Type:** Usance
- 40A - Form of Documentary Credit:** IRREVOCABLE
- 31D - Place Of Expiry:** dffdfd
- 32B - Currency Code, Amount:** GBP £80,000.00
- Accounttee:** (Empty field)
- Product Code:** ILUN
- 31C - Date of Issue:** Jan 5, 2021
- 51A - Applicant Bank:** (Empty field)
- 39A - Percentage Credit Amount Tolerance:** 0 / 0
- Amount In Local Currency:** GBP £80,000.00
- Product Description:** Import LC Usance Non Revolving
- 40E - Applicable Rules:** UCPURR LATEST VERSION
- Applicant:** 001044 GOODCARE PLC
- Limits/Collateral Required:** (Selected)
- Back to Back LC:** (Selected)

Buttons at the bottom: Reject, Refer, Hold, Cancel, Save & Close, Back, Next

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
Revolving	Read only field. The value used for Revolving as per the latest LC details is displayed.	
LC Type	Read only field. The value used for LC Type as per the latest LC details is displayed.	
Product Code	Read only field. This field displays the product code used during Issuance.	
Product Description	Read only field. This field displays the description as in Import LC Issuance.	
Advising Bank	Read only field. This field displays the advising bank details as per the latest LC details is displayed.	

Field	Description	Sample Values
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details as per the selection done at the time of Import LC Issuance.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	Read only field. This field displays the applicable rule as per the latest LC details.	
Date Of Expiry	Read only field. This field displays the expiry date as per the latest LC details.	09/30/18
Place of Expiry	Read only field. This field displays the place of expiry as per the latest LC details.	
Applicant Bank	Read only field. This field displays the applicant bank details as per the latest LC details.	
Applicant	Read only field. This field displays the details of the applicant as per the latest LC details.	
Beneficiary	Read only field. This field displays the beneficiary details as per the latest LC details.	
Currency Code, Amount	Read only field. This field displays the currency code of LC along with the currency details as per the latest LC details.	
Percentage Credit Amount Tolerance	Read only field. This field displays the percentage credit amount tolerance details as per the latest LC details.	
Limits/Collateral Required	Read only field. Limits/Collateral applicable as per the latest LC details is displayed.	
Additional Amount Covered	Read only field. This field displays the details of additional amount covered as per the latest LC details.	
Accountee	Read only field. Accountee details as per the latest LC details is displayed.	8/2

Field	Description	Sample Values
Amount In Local Currency	Read only field. Amount in local currency as per the latest LC details should be displayed.	
Back to Back LC	Read only field. Back to Back LC as per the latest LC details is displayed.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	User to upload the applicable documents.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view overrides, if any.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
View LC	User can view the LC summary with the latest LC details values.	
View Events	User can view the LC Events.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Back	Click Back to move to the previous screen of Data Enrichment stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Availability

In this section user can view the basic details of Availability, Shipment and Goods description in the Import LC Reopen request.

Field	Description	Sample Values
Available With	Read only. This field identifies the bank with which the credit is available.	

Field	Description	Sample Values
Available By	Read only. This field displays how the credit is available.	
Drafts At	Read only. This field displays drafts as per the latest LC details.	
Drawee	Read only. This field displays drawee as per the latest LC details.	
Partial Shipments	Read only. Partial Shipment as per the latest LC details is displayed.	
Transshipment	Read only. Transshipment as per the latest LC details is displayed.	
Place Of Taking In Charge	Read only. The Place of Taking Charge as per the latest LC details is displayed.	
Port Of Loading	Read only. The Airport/Port of loading as per the latest LC details is displayed.	
Port Of Discharge	Read only. The Airport/Port of discharge as per the latest LC details is displayed.	
Place Of Final Destination	Read only. The place of final destination as per the latest LC details is displayed.	
Latest Date Of Shipment	Read only. The latest date for shipment as per the latest LC details is displayed.	
Shipment Period	Read only. Shipment period as per the latest LC details is displayed.	
INCO Terms	Default INCO Terms as per the latest LC details is displayed.	
INCO Description	Defaults INCO terms description as in LC Issuance.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	No documents are required for upload in this screen.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view overrides, if any.	
View LC	User can view the LC summary with the latest LC details values.	

Field	Description	Sample Values
View Events	User can view the LC Events.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Next	Click Next to move to next logical screen of Data Enrichment stage.	

Payment Details

In this section, user can input the view the Payment data segment for Import LC Reopen request. The user can verify the basic details available in the Import LC reopen request. In case the request is received through online channel I will verify the details populated.

The screenshot shows the Oracle 'Payment Details' form for an 'Import LC Reopen - DataEnrichment' request (Application No: PK2ILCR000056560). The form is divided into several sections:

- 49G-Special Payment conditions for beneficiary:** Includes fields for 'SBA - Requested Confirmation Party' and '78-Instructions to P/A/N Bank'.
- 49H-Special Payment conditions for receiving bank:** Includes a field for '58A - Requested Confirmation Party'.
- 48-Period for Presentation:** A field showing the value '21'.
- 49-Confirmation Instructions:** A dropdown menu currently set to 'WITHOUT'.
- 53A - Reimbursing Bank:** A field for the bank name.
- 57A-Advise Through Bank:** A field for the bank to advise through.
- 71D - Charges:** A field for payment charges.
- 72-Sender to Receiver Information:** A field for sender/receiver details.

The interface includes a navigation menu on the left, a top header with user information (SRIDHAR02), and a bottom toolbar with buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

DE user can view the latest LC values displayed in the respective fields. All fields displayed are **read only** fields.

Field	Description	Sample Values
Special Payment Conditions for Beneficiary	Special payment condition for beneficiary as per the latest LC details is displayed.	
Special Payment Conditions for receiving Bank	Special payment condition for receiving bank, as per the latest LC details is displayed.	
Period for Presentation	Period of presentation as per the latest LC details is displayed.	
Confirmation Instructions	Confirmation instruction fas per the latest LC details is displayed.	
Requested Confirmation Party	Requested Confirmation Party as per the latest LC details is displayed.	
Reimbursing Bank	Reimbursing bank as per the latest LC details is displayed.	
Advise Through Bank	as per the latest LC details is displayed.	
Instructions to P/A/N Bank	Instructions to P/A/N Bank as per the latest LC details is displayed.	

Field	Description	Sample Values
Sender to Receiver Information	Sender to Receiver Information as per the latest LC details is displayed.	
Charges	Charges as per the latest LC details is displayed.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	No documents are required for upload in this screen.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view overrides, if any.	
View LC	User can view the LC summary with the latest LC details values.	
View Events	User can view the LC Events.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Back	Click Back to move to the previous screen of Data Enrichment stage.	
Next	Click Next to move to next logical screen of Data Enrichment stage.	

Documents and Conditions

User can view the Documents and conditions details for Import LC reopen. The below fields can be amended in DE stage.

- Documents
- Additional Conditions.

The screenshot displays the Oracle Data Enrichment (DE) interface for 'Import LC Reopen - DataEnrichment'. The application number is PK2ILCR000056560. The user is logged in as SRIDHAR02 (subham@gmail.com) on May 6, 2019. The interface shows a sidebar with navigation options: Main, Availability, Payment, Documents and Conditions (selected), Additional Fields, Advices, Additional Details, Settlement Details, and Summary. The main content area is titled 'Documents and Conditions' and contains two sections:

- Document Details:** A table with columns: Code, Name, Copy, Original, Description, and Action.

Code	Name	Copy	Original	Description	Action
AIRDOC		2	1/2		
AIRWAY				new clause for airway	
BOL					
INSDOC		2			
INVDOC			1/2		
- Additional Conditions:** A section with columns: Select, FFT Code, and FFT Description. It currently displays 'No data to display.'

At the bottom of the interface, there is a toolbar with buttons: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next. The page number is 1 of 2 (1-5 of 8 items).

Document Details

Documents details as per the latest LC details is displayed.

Additional Conditions

Additional conditions as per the latest LC details is displayed.

Action Buttons

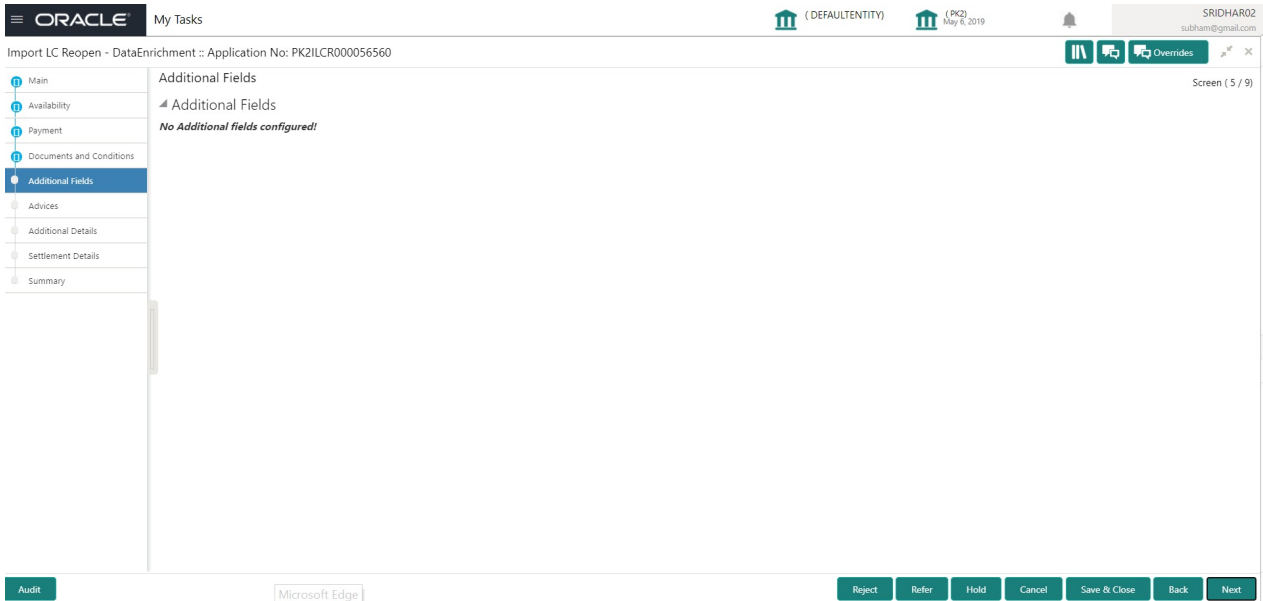
Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	No documents are required for upload in this screen.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view overrides, if any.	
Checklist	User to verify if standard clauses are added to Additional conditions.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move to the previous screen of Data Enrichment stage.	
Next	Click Next to move to next logical screen of Data Enrichment stage.	

Additional Fields

In this section, the user can input in the additional fields implemented by the bank for Import LC Reopen.

Any user defined fields maintained at the bank level should be available in this Additional field details.



Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	No documents are required for upload in this screen.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Back	Click Back to move to the previous screen of Data Enrichment stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Advices

DE user can view the Advices generated during Import LC Reopen request. Advices menu displays the advices available under a product code from the back office as tiles. Some of the possible advices could be of LC closure, payment message, etc.

The screenshot displays the Oracle Advices interface. The top navigation bar includes the Oracle logo, 'My Tasks', and user information (SRIDHAR02, subham@gmail.com). The main content area shows the 'Advices' section with two tiles:

- Advice : LC_INSTRUMENT**
 - Advice Name : LC_INSTRUMENT
 - Advice Party : ABK
 - Party Name : NATIONAL FREIGHT CORP
 - Suppress : YES
 - Advice
- Advice : PAYMENT_MESS...**
 - Advice Name : PAYMENT_MESSAGE
 - Advice Party :
 - Party Name :
 - Suppress : NO
 - Advice

The interface also features a sidebar with navigation options (Main, Availability, Payment, Documents and Conditions, Additional Fields, Advices, Additional Details, Settlement Details, Summary) and a bottom toolbar with buttons for Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

The user can also suppress the Advice, if required.

Advice Details ×



Suppress Advice
 Advice Name: GUA_CLAIM_ADV
Medium: MAIL
Advice Party: APP

Party ID: 001044
 Party Name: GOODCARE PLC



FFT Code + -

No data to display.

Instructions OK Cancel

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party Name	<p>Read only field.</p> <p>Value be defaulted from Guarantee /SBLC Issuance.</p>	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
	Click minus icon to remove any existing FFT code.	

Instruction Details

Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
	Click minus icon to remove any existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	No documents are required for upload in this screen.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Back	Click Back to move to the previous screen of Data Enrichment stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Details

DE user can view the additional details available for the Import LC Reopen request. Some of the possible details could be related to

- Limits and Collateral ((Verification Applicable))
- Commission, Charges and Taxes
- Revolving Details
- Preview Messages

The screenshot displays the Oracle application interface for an Import LC Reopen request. The main content area is titled 'Additional Details' and contains four tabs:

- Limits and Collaterals:**
 - Limit Currency : **USD**
 - Limit Contribution : **10000**
 - Limit Status : **Not Verified**
 - Collateral Currency : **GBP**
 - Collateral Contribution : **Collateral Status**
- Revolving Details:**
 - Revolving : **No**
 - Revolving In : **:**
 - Revolving Frequency : **:**
- Commission, Charges and...:**
 - Charge : **GBP 50**
 - Commission : **:**
 - Tax : **:**
 - Block Status : **Not Initiated**
- Preview Messages:**
 - Language : **:**
 - Preview Advice : **:-**

The bottom navigation bar includes buttons for Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

Limits & Collateral

Limits

Limits and Collaterals x

Limit Details

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	View
<input type="checkbox"/>	001043	0010431	100	GBP	\$10,000.00	Not Verified		001043

Click **View** to view the limit details.

Limit Details
x

Customer Id
001044

Contribution % *
100.0

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Expiry Date

Line ID *
001044

Limits Description

Contribution Amount *
£2,200.00

Limit Available Amount
£999,528,418,464.36

Response Message
The Earmark can be performed as the f

Field	Description	Sample Values
Limit Details	Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Lines available and mapped under the customer id gets listed.	
Contribution%	Contribution percent will be defaulted.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Contribution Amount	Contribution amount will be defaulted.	
Limit Currency	Limit Currency will be defaulted in this field.	

Field	Description	Sample Values
Limit Available Amount	Available limit, i.e., limit available without any earmark will be defaulted.	
Limit Check Response	Limit Check Response will be defaulted. Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message will be defaulted.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	View
Cash Collateral	0	GBP	\$0.00	PK20010430013			Cash Collateral

Collateral Details
✕

Collateral Type *

Collateral % *

Currency

Contribution Amount *

Settlement Account *

Settlement Account Branch

Settlement Account Currency

Account Available Amount

Response

Response Message

Field	Description	Sample Values
Collateral Type	<p>Cash Collateral (CASA) will be the defaulted as collateral type.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Collateral %	<p>Cash Collateral (CASA) will be the defaulted as collateral type.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Currency	The guarantee currency will get defaulted in this field.	
Contribution Amount	<p>Collateral contribution amount will get defaulted in this field.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Settlement Account	Settlement account for the collateral will be defaulted.	
Settlement Account Branch	Settlement Account Branch will be auto-populated.	
Settlement Account Currency	Settlement Account Currency will be defaulted.	
Account Available Amount	Account Available Amount will be auto-populated.	

Field	Description	Sample Values
Response	Response will be defaulted. Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message will be defaulted.	

Commission, Charges and Taxes

Charges

In Additional Details section, default commission, charges and tax if any, will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission,Charges and Taxes																														
<div style="display: flex; justify-content: space-between; align-items: center;"> Recalculate Redefault </div>																														
Charge Details <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Edit</th> <th>Component</th> <th>Currency</th> <th>Amount</th> <th>Modified</th> <th>Billing</th> <th>Defer</th> <th>Waive</th> <th>Charge Party</th> <th>Settlement Account</th> </tr> </thead> <tbody> <tr> <td></td> <td>LCROPCHG</td> <td>GBP</td> <td>\$50.00</td> <td></td> <td>false</td> <td>false</td> <td>false</td> <td></td> <td></td> </tr> </tbody> </table>											Edit	Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account		LCROPCHG	GBP	\$50.00		false	false	false		
Edit	Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account																					
	LCROPCHG	GBP	\$50.00		false	false	false																							

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	Read only.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled</p>	

Field	Description	Sample Values
Defer	<p>This check box is selected, if charges have to be deferred and collected at any future step.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box is selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Commission Details

If default commission is available under the product, it should be defaulted here with values.

Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									
Page 1 (0 of 0 items) < 1 >									

Field	Description	Sample Values
Component	Select the commission component	
Rate	Defaults from product.	
Currency	Defaults the currency in which the commission needs to be collected.	
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	Read only.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	The check box is selected if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	The check box is selected to waive the charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default.	
Settlement Account	Details of the Settlement Account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

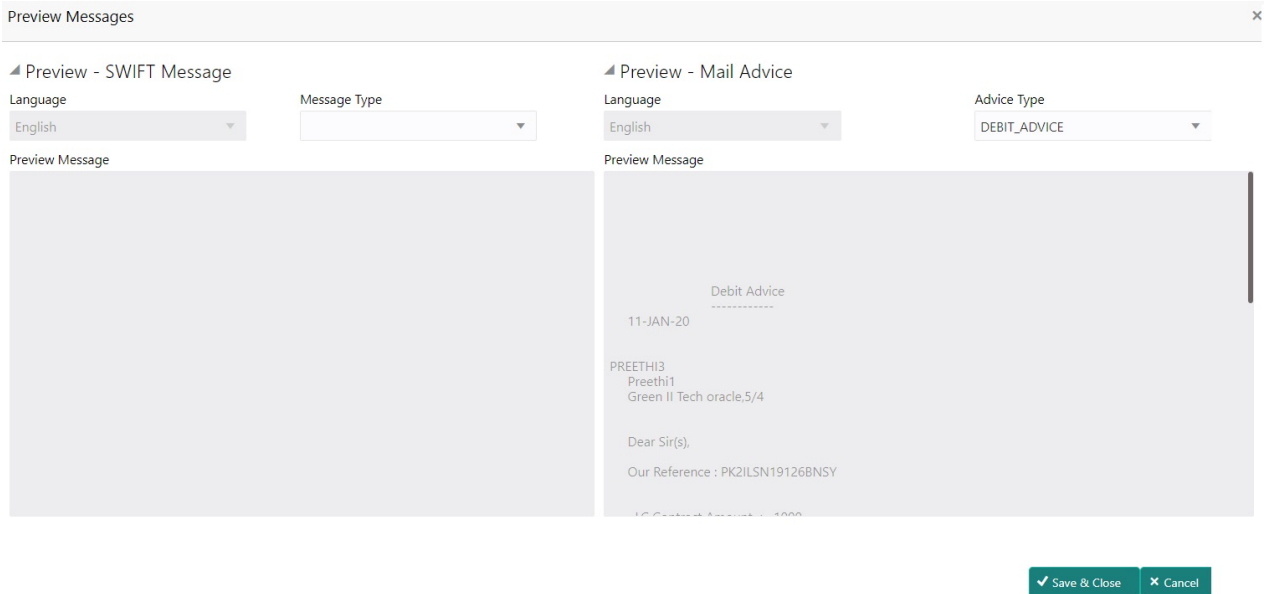
Tax Details

Component	Currency	Amount	Billing	Defer	Settlement Account
No data to display.					

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Preview Message

The bank user can view a preview of the message and advice simulated from back office which is based on the details captured in the previous screen.



The Preview section consists of following.

Field	Description	Sample Values
Preview SWIFT Message		
Language	Select the language for the SWIFT message.	
Message Type	Select the message type.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Select the language for the advice message.	
Advice Type	Select the advice type.	
Message Type	Display a preview of the advice.	
Preview Message	This toggle enables the user to select if draft confirmation is required or not	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	No documents are required for upload in this screen.	
Remarks	User can capture remarks as well as see remarks made in the earlier screens/stages.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move to the previous screen of Data Enrichment stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Settlement Details

The user can view the settlement details for Import LC Reopen request. The following are the list of fields to be displayed.

The screenshot shows the Oracle Settlement Details interface. The main content area displays a table with the following data:

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
AILS_N_COM1_LIQD	GBP	Debit	PK100001540018	FIXNETIX	GBP		
AILS_N_COMM_LIQD	GBP	Debit	PK100001540018	FIXNETIX	GBP		
COLLAMT_OSEQ	GBP	Debit	PK100001540018	FIXNETIX	GBP		
COLL_AMNDAMTEQ	GBP	Debit	PK100001540018	FIXNETIX	GBP		
COLL_AMTEQ	GBP	Debit	PK100001540018	FIXNETIX	GBP		
COLL_AVALAMTEQ	GBP	Credit	PK100001530016	NATIONAL FREIGHT CORP	GBP		
LCCOURISS_LIQD	GBP	Debit	PK100001540018	FIXNETIX	GBP		
LCSWIFTIS_LIQD	GBP	Debit	PK100001540018	FIXNETIX	GBP		
LCTAX1_AMT	GBP	Debit	PK100001540018	FIXNETIX	GBP		
LCTAX2_AMT	GBP	Debit	PK100001540018	FIXNETIX	GBP		
LCTAX_AMT	GBP	Debit	PK100001540018	FIXNETIX	GBP		

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	System populates the components based on the product selected.	
Currency	System displays the currency for the component.	
Debit/Credit	System defaults the debit/credit indicators for the components	
Account	System defaults the value based on the product selected.	
Account Description	System displays the account description for the account chosen.	
Account Currency	System displays the account currency for all items based on account number	
Netting Indicator	System displays the netting indicator applicable.	
Current Event	System displays the the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Back	Click Back to move to the previous screen of Data Enrichment stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary of details updated in Data Enrichment stage Import LC Reopen request.

As part of summary screen, the user can see the summary tiles. The tiles should display a list of important fields with values and the user can drill down from summary Tiles into respective data segments.

The screenshot shows the Oracle Summary screen for an Import LC Reopen request. The interface includes a navigation menu on the left, a main content area with a grid of summary tiles, and a bottom toolbar with action buttons.

Main	Availability	Payment	Documents and Conditions
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-05-06 Date of Expiry : 2019-08-04 Place of Expiry : IN	Available With : TEST Available By : PAYMENT Port of Loading : Port of Discharge :	Period of Present. : 21 Confirmation Instr. : WITHOUT	Document 1 : AIRDOC Document 2 : AIRWAY Document 3 : BOL Document 4 : INSDOC Document 5 : INVDOC
Additional Fields	Advices	Revolving Details	Limits and Collaterals
Click here to view Additional Fields :	Advice 1 : LC_INSTRUM Advice 2 : PAYMENT_ME	Revolving : N Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified
Commission, Charges and Taxes	Preview Messages	Party Details	Settlement Details
Charge : GBP50 Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Advising Bank : NATIONAL F Beneficiary : PREETHI3 Applicant : PREETHI2	Component : OTHBNKCHG_ Account Number : PK10000151 Currency : GBP
Accounting Details	Compliance		
Event : ROPN Account Number : 620000001 Branch : PK2	KYC : Not Initia Sanctions : Not Initia AML : Not Initia		

Bottom toolbar: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Availability - User can view already captured availability details.
- Party Details - User can view party details like applicant, advising bank etc.,
- Documents and Conditions: User can to view the details of Documents and Conditions.
- Additional Details – User can view the additional details
- Advices: User can view the advice details.
- Payment: User can see all details related to payments.
- Limits and Collaterals: User can see captured details of limits and collateral.
- Commission, Charges and Taxes: User can see details provided for commission, charges and taxes.
- Revolving Details: User can drill down into revolving details tile to see more information on revolving LC if applicable.
- Preview Messages: User can see the SWIFT message and Mail Advice.
- Settlement Details: User can see the Settlement details.
- Compliance: User can see the compliance details tiles. The status should be verified for KYC, AML and Sanction Checks.
- Accounting Details: User should be able to view the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Submit	Task will move to next logical stage of Import LC Reopen. If mandatory fields have not been captured, system will display an error message highlighting that the mandatory fields have to be updated. In case of duplicate documents' system will terminate the process after handing off the details to back office.	
Save & Close	Save the details provided and holds the task in 'My Task' queue for further update. This option will not submit the request.	
Cancel	Cancel the Data Enrichment stage inputs. The details updated in this stage are not saved. The task will be available in 'My Task' queue.	
Hold	The details provided will be saved and status will be on hold. User must update the remarks on the reason for holding the task. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

On submit of DE Stage, if Limits Earmark or Amount block fails, system should park the task in Limit Exception stage or Amount Block exception stage as required.

Multi Level Approval

This stage allows the approver user to review and approve the Import LC Reopen request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Summary

Oracle Free Tasks | (DEFAULTTENITY) | (PK2) May 2, 2019 | JEEVA02 subham@gmail.com

Import LC Reopen - Approval Task Level 1 :: Application No: PK2ILCR000056560

Main	Availability	Payment	Documents and Conditions	Additional Fields
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-05-06 Date of Expiry : 2019-08-04 Place of Expiry : IN	Available With : TEST Available By : PAYMENT Port of Loading : Port of Discharge :	Period of Present. : 21 Confirmation Instr. : WITHOUT	Document 1 : AIRDOC Document 2 : AIRWAY Document 3 : BOL Document 4 : INSDOC Document 5 : INVDOC	Click here to view : Additional fields
Advices	Revolving Details	Limits and Collaterals	Commission, Charges and Taxes	Preview Messages
Advice 1 : LC_INSTRUM Advice 2 : PAYMENT_ME	Revolving : N Revolving In : Revolving Frequency :	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	Charge : GBP50 Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -
Settlement Details	Accounting Details	Party Details	Compliance	
Component : OTHBNKCHG_ Account Number : PK10000151 Currency : GBP	Event : ROPN Account Number : 520000002 Branch : PK2	Applicant : PREETHI2 Advising Bank : NATIONAL F Beneficiary : PREETHI3	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	

Audit | Reject | Hold | Refer | Cancel | Approve

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Availability - User can view already captured availability details.
- Payments - User can view all details related to payments.
- Party Details - User can view party details like applicant, advising bank etc.,
- Documents and Conditions: User can to view the details of Documents and Conditions.
- Additional Fields: User can view the additional fields if it has been implemented by the bank.
- Advices: User can view the advice details
- Limits and Collaterals: User can see captured details of limits and collateral.
- Commission, Charges and Taxes: User can see details provided for commission, charges and taxes.
- Revolving Details: User can drill down into revolving details.
- Preview Messages: User should be able to see the preview details grid.
- Settlement Details: User can see the Settlement details.
- Compliance Details: User can see the compliance details tiles. The status should be verified for KYC, AML and Sanction Checks.
- Accounting Details: User should be able to view the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents and Checklist	<p>User should be able to verify already attached documents.</p> <p>Based on the transaction value, there can be one or more approvers.</p> <p>After verification and approval the transaction gets approved and if there are additional approvals, the task will move to the next approver. After all approvals, the system will hand-off the transaction details to the back end system for posting.</p>	
Remarks	<p>The user can view the remarks captured in the process during earlier stages.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the approval.</p>	

Field	Description	Sample Values
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Customer - Acknowledgement Format

Customer Acknowledgment is generated every time a new Import LC Reopen is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>

DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your Import LC Reopen request dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Export LC Transfer Amendment with the below details:

Applicant: XXXX

Beneficiary: XXXX

Currency: XXXX

Amount: XXXX

Issue Date: XXXX

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute Reopen of LC.

Thank you for banking with us.

Regards,

<DEMO BANK>

Customer - Reject Format

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your LC Application <Customer Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent LC reopen application request dated <Application Date -DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to amend the required LC reopen.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the LC due to the below reason

<1. Reject Reason >

<2. Reject Reason >

<3. Reject Reason >

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your LC application review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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